Western Balkans Investment Framework
Infrastructure Project Facility
Technical Assistance 4 (IPF 4)

TA2012054 R0 WBF

Preliminary Design and Feasibility Study with EIA for construction of Highway E-80 in Serbia (SEETO Route 7): from Kosovo* (administrative crossing Merdare) to Niš via Prokuplje bypass, section Niš-Pločnik

WB13-SER-TRA-01

PRELIMINARY DESIGN
RESETTLEMENT POLICY FRAMEWORK

November 1, 2017
European Western Balkans Joint Fund (EWBJF)

Western Balkans Investment Framework (WBIF)

Infrastructure Projects Facility

Technical Assistance 4 (IPF 4)

Infrastructures: Energy, Environment, Transport and Social

TA 2012054 R0 WBF

Resettlement Policy Framework for construction of Highway E-80 in Serbia from Niš via Prokuplje Bypass to Pločnik (SEETO Route 7)

WB13-SER-TRA-01

Resettlement Policy Framework (RPF)

XXX-2016

The technical assistance operation is financed under the Western Balkans Investment Framework (WBIF) which is a joint initiative of the EU, International Financial institutions, bilateral donors and the governments of the Western Balkans which supports socio-economic development and EU accession across the Western Balkans through the provision of finance and technical assistance for strategic investments, particularly in infrastructure, energy efficiency and private sector development.

Disclaimer: The authors take full responsibility for the contents of this report. The opinions expressed do not necessarily reflect the view of the European Union or the European Investment Bank.
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<tr>
<td>IPF</td>
<td>Infrastructure Projects Facility</td>
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<tr>
<td>ARAP</td>
<td>Abbreviated Resettlement Action Plan</td>
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<td>ASG</td>
<td>Advisory and Stakeholder Group</td>
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<td>BoE</td>
<td>Beneficiary of Expropriation</td>
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<td>CFD</td>
<td>Central Feedback Desk</td>
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<td>EC</td>
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<td>ESIA</td>
<td>Environmental and Social Impact Analysis</td>
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<td>EUR</td>
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<td>GoRS</td>
<td>Government of Republic of Serbia</td>
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<td>IFI</td>
<td>International Finance Institution</td>
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<td>IT</td>
<td>Information Technology</td>
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<td>LM</td>
<td>Local Municipality</td>
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<td>LSG</td>
<td>Local-Self Goverment</td>
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<td>MCTI</td>
<td>Ministry of Construction, Transport and Infrastructure</td>
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<td>MoF</td>
<td>Ministry of Finance of Republic of Serbia</td>
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<td>NGO</td>
<td>Non-Govermental Organisation</td>
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<td>NSO</td>
<td>National Statistical Office of Republic of Serbia</td>
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<td>PAP</td>
<td>Project Affected Person</td>
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<td>PAF</td>
<td>Project Affected Family</td>
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<td>PAH</td>
<td>Project Affected Household</td>
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<td>PERS</td>
<td>Public Enterprise “Roads of Serbia”</td>
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<td>PIU</td>
<td>Project Implementation Unit</td>
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<td>RAP</td>
<td>Resettlement Action Plan</td>
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<td>RPF</td>
<td>Resettlement Policy Framework</td>
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<td>RS</td>
<td>Republic of Serbia</td>
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<td>RSD</td>
<td>Serbian Dinar</td>
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Glossary

When used in this document terms are defined as follows:

- "Compensation" - Refers to any and all payments made in cash or in kind to replace the value of assets or acquired resources affected by the Project. Compensation will be paid before taking possession of the land and assets in all cases.  
  "Compensation agreement" - Refers to the concurrence of compensation, under the Law of expropriation before the municipal administration or before the competent court, reached between BoE and expropriated property owner on in-kind compensation, the amount of cash benefits, mutual additional payments for differences in the property value, building entrances, passages and access roads on the property, as well as other actions permitted by Law.

- "Cut-off Date" - Date of beginning of the census of persons and inventory of assets affected by the Project. If (a) person(s) should occupy the project area after the cutoff date, they will not be eligible for compensation and/or resettlement assistance. Similarly, fixed assets (such as built structures, crops, fruit trees, and woodlots) established after the cut-off date will not be compensated. Before the census, BoE will publish an information about the cut-off date in local newspapers, informing all owners and users of the initiation of the expropriation process. The cut-off date will also be publicly disclosed on notice boards in local communities and relevant municipalities and at consultation meetings, with an accompanying explanation. The public announcement will also be posted, as necessary, on frequently visited locations throughout the affected areas.

- "Economic Displacement" - Includes all loss of income sources or means of livelihood as a result of land acquisition or obstructed access to resources (land, water, or forest) as a result of Project implementation, regardless whether affected persons (APs) must move to another location or not.

- "Expropriation" - It refers to a dispossession or a limitation of ownership rights on property with a compensation pursuant to market value of the property.

- "Expropriation resolution" - The formal decision of the relevant local government, passed in accordance with the Law of Expropriation, that declares expropriation of a certain, individual property. The Expropriation resolution includes the designated Beneficiary of Expropriation (BoE), details of property to be expropriated, identification of owner(s), purpose of the expropriation, as well as the number and date of the decision establishing the public interest for expropriation and the name of the authority that issued this decision, legal remedy and obligations of the BoE.

- "Involuntary resettlement" - Includes all cases with social and economic impact in which, because of the Project implementation, land or assets are taken in a legal process without owners consent or power of choice, resulting in relocation or loss of shelter, loss of assets or access to assets, or loss of income sources or means of livelihood.


- "Livelihood restoration" - Includes all efforts to be undertaken to assist PAPs impacted by physical or economical displacement to improve their livelihoods and standards of living, or at least to restore to level before displacement or to
level prior to the beginning of project implementation, whichever is higher. Assistance in livelihood restoration will continue in a transition period, based on a reasonable estimate of the time likely needed.

- "Moving allowance" - The moving allowance is a cash compensation for expenses directly associated to moving/relocation of the household.
- "PAP - Project affected person" - PAP is any person who, as a result of the implementation of the Project suffers loss of assets or access to assets.
- "Physical displacement" - Loss of shelter and assets resulting from the expropriation of land associated with the Project that requires PAPs to move from home, work place or business premises to another location.
- "Project" - Here described as Phase 1 of Serbia construction of Highway E-80 (SEETO Route 7) Transport (WB13-SER-TRA-01) from Niš to Pločnik.
- "Project implementation" - When used in this RPF includes planning, execution, monitoring and evaluation of the Project.
- "Project Promoter" - Refers to the responsible state agency for Project implementation, in this case Republic of Serbia a Ministry of Construction, Transport and Infrastructure (MCTI) and Public Enterprise “Roads of Serbia” (PERS) and their PIU respectively if established.
- "RAP - Resettlement action plan, ARAP - Abbreviated Resettlement Action Plan" and Integrated RAP - Integrated Resettlement Plan - The document consistent with the principles and objectives of IFI's resettlement policies and with the RPF in which responsible entity specifies the procedures that it will follow and the actions that it will take to mitigate adverse effects, compensate losses, and provide development benefits to persons and communities affected by the Project in certain area. In accordance with IFI's resettlement policies, ARAP is prepared when less than 200 people are affected by the Project, and resettlement impacts are minor. Integrated RAP may be prepared in accordance with WB decision if there are many sub-projects in the Project, or there are several sub-sections that are prepared for advancement at the same time.
- "Resettlement" - Relates to all cases of land acquisition and compensation for loss of assets, whether it involves actual relocation, loss of land, shelter, assets, economic displacement or other means of livelihood and includes all the measures taken to mitigate any and all adverse impacts of the Project on PAPs' property and/or livelihood, including compensation, relocation (where relevant), and rehabilitation. Resettlement impacts include the loss of crops and incomes, in addition to physical relocation.
- "Replacement cost" - Refers to a method of valuation of assets that helps determine the amount sufficient to replace lost assets and cover transaction costs as defined by IFI's resettlement policies. "Replacement cost" is defined as follows: for agricultural land, it is the pre-project or pre-displacement, whichever is higher, market value of land of equal productive potential or use located in the vicinity of the affected land, plus the cost of preparing the land to levels similar to those of the affected land, plus the cost of any registration and transfer taxes. For land in urban areas, it is the pre displacement market value of land of equal size and use, with similar or improved public infrastructure facilities and services and located in the vicinity of the affected land, plus the cost of any registration and transfer taxes. For houses and other structures, it is the market cost of the materials to build a replacement structure with an area and quality similar to or better than those of the affected structure, or to repair a partially affected structure, plus the cost of transporting
building materials to the construction site, plus the cost of any labor and contractors’ fees, plus the cost of any registration and transfer taxes. In determining the replacement cost, depreciation of the asset and the value of salvage materials are not taken into account, nor is the value of benefits to be derived from the project deducted from the valuation of an affected asset. Where domestic law does not meet the standard of compensation at full replacement cost, compensation under domestic law is supplemented by additional measures so as to meet the replacement cost standard.

- "RPF - Resettlement Policy Framework" - Refers to this document which describes overall resettlement policy structure for the Project.
- "Stakeholders" - Any and all individuals, groups, organizations, and institutions interested in and potentially affected by a Project, or having the ability to influence the Project.
- "Transitional allowance" - Describes one-off cash compensation as an additional financial assistance to facilitate PAP adjustment in the area to which they are relocated.
- "Vulnerable group" - Refers to people below the poverty line, the landless, the elderly, women and children, and those who by virtue of gender, ethnicity, age, physical or mental disability, economic disadvantage, or social status may be more adversely affected by resettlement than others or who may be limited in their ability to claim or take advantage of resettlement assistance and related development benefits.
Preliminary Design and Feasibility Study with ESIA for construction of Highway E-80 in Serbia (SEETO Route 7)

PRELIMINARY DESIGN - Environmental and Social Impact Assessment Study (ESIA)
1 Preface

This RPF was prepared to guide any involuntary resettlement that may occur as a result of action and implementation of the Project -Phase 1, construction of the E80 Highway from Niš, via Prokuplje Bypass to Plocnik. This is one of the key safeguard documents for this project.

In all cases of land acquisition, involuntary resettlement and social impacts due to implementation of infrastructure projects, and depending on the stage of implementation, International Financial Institutions (IFI) policies require preparation of a Project specific Resettlement Policy Framework (RPF) to guide any potential, future Project-induced resettlement activities. This document includes an overview of the national framework of the Republic of Serbia for expropriation/resettlement, applicable IFI’s policies and best international practices in this field. In case of Project-induced land acquisition/resettlement, the more stringent requirements of either one shall prevail. This document provides the framework for resolving all related issues and circumstances with the final objective that during this Project implementation if any involuntary resettlement should be required, it doesn't rise severe economic and social risks to persons, families or groups, to procure the mitigation of all risks, and to provide a framework for compensation for all impacts that cannot be avoided. If any resettlement should be required, it will be governed by principles and rules presented in this RPF.
2 Introduction

2.1 RPF summary

In accordance with the IFI's resettlement policies, preparation of a RPF is required if the extent and locations of resettlement are not completely known at the time of Project appraisal. The objective of this document is to provide guiding principles for the Project implementation intended to ensure timely, adequate and efficient actions, specified by priority, in order to avoid, minimize and compensate all adverse impacts during resettlement. RPF is prepared in accordance with the legal system, laws and procedures of RS, in conformity with IFI's resettlement policies and best international practice. RPF also provides the mandatory basis for developing site specific resettlement instruments; RAP, ARAP or Integrated RAP, to be developed as appropriate for sub-projects that lead to resettlement.

2.2 Project description and potential resettlement impacts

The construction of a new highway between Niš/Doljevac and Priština through the administrative crossing point Merdare is expected to reduce significantly travel times, increase level of service and road safety and enhance regional transport activities, both passenger and freight.

*Figure 1: Project location*
Route 7, in addition to Corridor Xc (Nis-Pirot-Dimitrovgrad- Bulgarian border E-80 / M-1.12), is part of European road E-80, as classified in the European Agreement on Main International Traffic Arteries and state road IB class no.35. Niš-Merošina-Prokuplje-Kušumljija-Podujevo-Priština and state road IIA class no. 216 Prokuplje-Žitorađa-Doljevac, connection with the state road A1, as classified within the Serbian network.

Route 7 is one of the main east-west road corridors through Serbia and as such, it connects not only Niš and Pristina, but also represents the main connection with Corridor IV (which mainly crosses Bulgaria and Romania) and Corridor X with Route 6 (Skopje-Pristina) and Route 2b (Sarajevo-Podgorica-Vlora). This motorway section of E-80 belongs to Trans-European Motorway network (TEM) and is also a part of TEN-T Corridor X (branch Xc).

In the Spatial Plan of Serbia 2012-2020, this section of Route 7 is designated as new (planned) motorway.

The Phase 1 of the Project is the construction of the E-80 route between Niš (south) E-80 to Pločnik and compromise of five sections as follows:

- SECTION I, NIŠ(south)-MEROŠINA, km 0+000-km 5+500, L=5.5km
- SECTION II, MEROŠINA-PROKUPLJE (east), km 5+500 - km 17+100, L=11.6km
- SECTION III, PROKUPLJE (east)-PROKUPLJE (west), km 17+100 - km 23+700, L=6.6km
- SECTION IV, PROKUPLJE (west) - BELOLJIN, km 23+700 - km 32+000, L=8.5km
- SECTION V, BELOLJIN - PLOČNIK, km 32+000 - km 39+300, L=7.3km.

The Project will require acquisition of 3440 land parcels and physical displacement of 72 physical structures in municipalities Merošina and Prokuplje, administratively and geographically covering the direct area of influence.

2.3 Principles and objectives of RPF

2.3.1 Resettlement principles according to RPF

During implementation of the Project, the need for land acquisition and involuntary resettlement will occur as a result of civil works foreseen under Project. This document provides basic and binding principles to be applied. The basic principles guiding this Project are:

- If possible, all resettlement should be avoided exploring viable alternatives in Project design.
- If this proves to be impossible, all adverse effects should be rendered to the bare unavoidable minimum.
- When unavoidable adverse effects and social impact occurs, all loss of property shall be compensated at replacement costs and PAPs livelihood restored at least to the level before the Project implementation.
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Preliminary Design and Feasibility Study with ESIA for construction of Highway E-80 in Serbia (SEETO Route 7)
PRELIMINARY DESIGN – Resettlement policy Framework (RPF)

- PAPs will be assisted in all phases of the Project in their effort in livelihood restoration and living standards in real terms to level prior to Project implementation and resettlement.
- All resettlement needs to be managed in accordance with national laws, IFI's resettlement policies, accepted international best practices and the basic principles of this document.
- Special support and concern in resettlement process and during complete Project implementation under this RPF is provided for affected vulnerable groups according to their specific vulnerability. Also, inclusion of women, part of affected households, in all public consultation, specific mitigation measures gender tailored, and other measures with the goal to provide women the possibility to participate in mitigation measures provided for resettlement impact, will be given under this RPF.
- In accordance with this RPF, RAPs will be prepared for all cases of resettlement under each sub-project. Full RAPs will be prepared for all cases when resettlement impacts are significant. ARAP is prepared when less than 200 people are affected, and resettlement impacts are minor.
- RPF and the RAPs publicly disclosed with public consultations held prior to its final approval to allow affected persons and stakeholders to participate in Project development and planning and implementation of resettlement programs.
- During the Project implementation and resettlement cycle, stakeholders will be provided with clear information about grievance rights and procedures. All grievances will be taken into account during Project implementation and resettlement activities.
- All resettlement activities should be conceived as sustainable projects providing sufficient investment resources to enable principles and goals of resettlement defined by this RPF and RAPs.

2.3.2 Objectives of RPF

The main objective of RPF is to define overarching principles, procedures, actions, organizational structures and capacity requirements during resettlement, if such should be required, and its impact attributable to Project implementation. It provides the framework for individual RAPs under the Project. RPF specific objectives are to:

- classify RS legal solutions in all events of involuntary resettlement, relocation and loss of assets, including legal and administrative procedures and compensation paid for loss of assets; compare them to IFI's resettlement policies and international best practices; and provide the way to bridge the gaps;
- identify key institutions of RS, besides the Project promoter, involved in the Project implementation, including especially legally authorized state institutions implementing the procedures and safeguards of involuntary resettlement process; including Project promoter measures and monitoring in order to provide compliance with IFI's resettlement policies, international best practices, RPF and individual RAPs;
- identify stakeholders and ways of their engagement in course of Project implementation.
• present PAPs eligibility criteria and compensation entitlement matrix according to type of loss assets;
• define the process of identification and evaluation of affected assets and the value of compensation to replace the loss of assets;
• provide prompt and effective compensation at full replacement cost for loss of assets or access to assets;
• describe mitigation measures under this RPF and individual RAPs, including procedures in order to minimize impacts on PAPs during Project implementation, including specific mitigation measures provided for vulnerable groups and women;
• define grievance and complaint rights, process, bodies and procedures available to PAPs during the whole course of Project implementation, including feedback reporting;
• describe and provide directions to preparation of individual RAP and approval procedure, future RAP outlines and their implementation process;
• specify requirement of public disclosure, disclosure of documents, public and local community involvement in all phases of the Project preparation; including development of RPF and RAPs; especially including public consultation in the PAP community during process of involuntary resettlement and Project implementation that may result in loss of assets;
• establish a gender-sensitive framework for resettlement in order to determine differential impacts since economic and social disruption do not result in equal hardship for women and men;
• specify internal monitoring activities during all phases of Project implementation, especially regarding resettlement issues, safeguarding legal and under this RPF defined process, including evaluation of the resettlement process;
• define the need and extent of monitoring and evaluation of the resettlement process, including external monitoring and evaluation;
• provide forms and questionnaires key to safeguarding and implementing the resettlement process under this RPF and individual RAPs.
3 Legal framework of resettlement during Project implementation

3.1 Serbian laws significant to resettlement

- Constitution of Republic of Serbia

Passed in 2006 (the constitution was approved in the constitutional referendum of 2006, held from the 28-29th of October. It was officially proclaimed by the National Assembly of Serbia on November 8, 2006), Constitution of RS proclaims the rule of law and social justice, principles of civil democracy, human and minority rights and freedoms, and commitment to European principles and values.

Article 58 generally acknowledges guarantees of peaceful tenure of a person’s own property and other property rights acquired by law. It states that right of property may be revoked or restricted only in public interest established by law and with compensation which cannot be less than market value. It is important to note that the Constitution of RS prohibits the payment of a compensation less than the "market value", but allows the payment over the established market value, which is an important provision for bridging gaps between Serbian laws and IFI's resettlement policies, as will be presented later in this document. The provisions of the Constitution also doesn't differ property (buildings etc.) constructed without a construction permit, a practice that became common during last 30 years in RS. The Constitution further proclaims that all human rights (including the right of property) and minority rights guaranteed by the Constitution shall be implemented directly.

Furthermore, Article 16 states that all general accepted rules of international law and ratified international treaties shall be an integral part of the legal system in the Republic of Serbia and applied directly. This provision allows direct implementation of IFI's resettlement policies, provisions of this RPF and individual RAPs next to existing Serbian laws, and most important ways of bridging gaps between them if those IFI's resettlement policies and this RPF is considered to be an integral part of any potential Loan or Sponsor agreement with the IFI, once approved, signed and ratified by the Parliament.

- The Law on foundations of property law relations

ownership rights substance, subjects of ownership rights, co-ownership and joint ownership rights, acquiring the right of ownership, right on yields emanating from owned thing, possession rights, ownership acquired by adverse possession, ownership relations deriving in situations when structures was built on someone else's land, protection of ownership rights, protection of possession, cessation of ownership rights, etc.

- The Planning and construction law
  The planning and construction law ("Official Gazette of the RS", No. 72/09 of September 3, 2009, corr. "Official Gazette No. 81/09 (Corrigendum), changed by Constitution Court of RS ruling 64/10 (CC), 24/11, 121/12, 42/13 (CC), 50/13 (CC), 98/13 (CC), 132/14 145/14) governs the following issues: the conditions and modalities of spatial planning and development, the development of general and detailed regulation plans, the development and use of construction land and the construction of facilities, predominant use of land when the land has multiple uses, public use of land and other issues of significance in the development of space, landscaping and use of construction land and the construction of facilities.

- Building legalization law
  Building legalization law ("Official Gazette of the RS ", No. 96/15 dated November 26, 2015) regulates the conditions, procedure and manner for legalizing buildings, parts of buildings, auxiliary buildings and other buildings constructed without a building or construction permit. The custom of constructing complete buildings (houses, shops, even apartment buildings), or adding auxiliary buildings to existing, legal building (garage, additional floors on houses or rooms) without a construction permit became quite usual during the past 30 years. The governments over the years always maintained the intention to legalize all illegally constructed buildings, if constructed on own land and/or with consent of the owner, but most of the buildings have not yet been legalized. It is without any doubt that if the Project will have any resettlement impact, some of the assets will be buildings without building permits so provisions of this law can be important, but in those cases, the RPF, in terms of eligibility, shall prevail if more stringent.

- The Law on Extra-Judicial Proceedings
  The Law on Extra-Judicial Proceedings ("Official Gazette of SRS", No. 25/82 and 48/88, amended "Official Gazette of the RS" No 46/95, 18/2005, 85/2012, 45/2013, 55/2014, 6/2015 and 106/2015) defines the rules by which courts decide on personal, family, property-related and other rights and legal interests, which are resolved in extra-judicial proceedings, pursuant to the Law. In accordance with this Law, the court in extra-judicial proceedings determines compensation for an expropriated property after it establishes the important facts and approves a decision which defines the type and amount of compensation. According to this Law, participants may conclude an Agreement about type and amount of compensation, and the court will then base its decision on their agreement, if the court finds that the agreement is not contrary to mandatory regulations.

- The Law on Administrative procedures
  The law currently in effect was adopted in 1997. ("Official Gazette of FRY", No. 33/97 and 31/2001; and "Official Gazette of RS", No. 30/2010 regulates the manner in which state institutions must act when deciding on rights, obligations or legal interests of individuals, legal persons or other parties, within the framework of administrative procedures. Decisions by administration bodies are approved in form of a decree, after completing the procedure as prescribed by this Law. The party has the right to appeal against the decision approved in first instance. Only the Law may prescribe that in certain administrative issues appeals are not permitted, if the protection of rights and
legality is ensured in some other way. This Law is providing the legal framework for action of relevant Municipalities administration after the BoE submits the expropriation request.

- The Law on State Survey and Cadastre
  - The Law on State Survey and Cadastre (“Official Gazette of the RS” No 72/2009, amended on 18/2010, 65/2013 and 15/2015) regulates the professional activities and affairs of the state administration related to land, buildings and other structures survey, real estate cadastre, records and registration of property, registration of possession, registration of illegal buildings and buildings legalized according to provision of the latest Building Legalization Law of RS, utilities cadaster, basic geodetic works, address register, topographic and cartographic activities, valuation of real estate, geodetic and cadastral information system.

3.2 Legal framework regarding process of expropriation

The Law on Expropriation (passed in 1995 and enacted on January 1, 1996, amended in March 2001, amended again on March 19, 2009, and 2013 by the Constitutional Court ruling) enables government institutions to acquire property for projects that are deemed to be of public interest, while protecting the interests of all persons with legal title, whose assets are to be expropriated. The Law on expropriation does not use the term “involuntary resettlement”, but instead uses the term “expropriation” and is based on the Governments eminent domain power. The law also enshrines the principle of fair compensation according to "market value" of the property instead of the "replacement value" used in IFI’s resettlement policies.

These are key provisions of the Law of Expropriation:

- Immovable assets (by Law generally defined as land, buildings and other construction structures) can be expropriated only after declaring public interest by law, or decision of the Government of RS. Public interest can be declared if construction of facility is intended for public utility infrastructure, education, public health, social welfare, culture, water management, sports, transport, power, national defense, local/national government needs, environmental protection, protection from weather-related damage, exploration for, or exploitation of, minerals, land needed for resettlement of people holding mineral-rich lands, property required for certain joint ventures, and housing construction for the socially disadvantaged.

- The Beneficiary of Expropriation (BoE) can be the Republic of Serbia, autonomous province Vojvodina, cities, the city of Belgrade, municipalities, public funds, public companies, companies that are established by public companies, as well as for companies with majority state capital founded by the above mentioned state institutions.

- Expropriation can be complete or incomplete. Complete expropriation allows the BoE to obtain ownership over the expropriated property, while the ownership by the owner and other rights on that property cease to exist. Incomplete expropriation provides the BoE with specific easement rights on the land and structures, as well as the lease of the land for a definite period of time (not longer than three years in both cases). At the end of the easement or lease period full ownership rights over property are returned to the owner, and must be restored to condition prior to expropriation.
• Owners of immovable property partially expropriated are entitled to request expropriation of the entire property and the corresponding compensation, in case if expropriation deteriorated the economic situation of the property owner or made the remaining part of property useless or difficult to use - unviable. This request under the Law may be filed within two years from the completion of construction of the facility (for which expropriation was conducted), or within two years from the completion of works.

• As a general rule, compensation for expropriated property is offered and paid in cash, if the Law doesn't state otherwise. But according to the same law, in the case of expropriation of agricultural land for the construction of line infrastructure facilities (roads, highways), suitable agricultural land of the same type and quality, or the corresponding value in the area and its surrounding area will be offered to owners of expropriated property. If the BoE is not able to offer appropriate agricultural land, the compensation is determined in cash. This is as a rule in conformity to the IFI's resettlement policies.

• To lessee of socially or state owned flats, or to persons with occupancy rights in expropriated residential building or apartment, BoE shall provide tenure rights (use, lease or occupancy rights) of same kind of another equivalent, social or state owned property in the vicinity.

• The BoE will not be allowed to take possession of the Expropriated property before the day of legal validity of the decision on compensation, or before the date of conclusion of an agreement on compensation for expropriated property, unless the Law provides otherwise. But in cases of expropriation due to construction of facilities for power production, transmission or distribution of electricity, or for the construction of line infrastructure facilities, at the request of the BoE the possession of the expropriated property can be allowed before on the basis of the final decision on expropriation, provided that the BoE defined the basis for compensation under the provisions of the Expropriation law.

The Expropriation process
This is a step-by-step presentation of the expropriation process, as defined by Law of Expropriation.

• The General assembly by enacting a law, or GoRS by its decision declares public interest for a certain development project. Public interest for expropriation can be declared only if there is a corresponding planning document. The request for the establishment of public interest for expropriation may be submitted by a legal entity that, under the provisions of this Law, can be the beneficiary of expropriation. The Government shall issue its decision within 90 days.

• Expropriation process starts when the BoE submits the expropriation request to the relevant municipality. The request, amongst other data, must contain exact specification of owners and properties for which expropriation is proposed, and evidence on secured funds within the budget, or arrange for a bank guarantee, securing the payment of compensation, in accordance with the regulations governing public finances.

• Prior to issuing a decision on expropriation, the relevant authority shall hold a hearing allowing the owners of immovable property to voice all facts of importance for the expropriation of property. If all conditions are met, the expropriation decision will be issued.
The BoE is obligated to submit to the municipal administration a written compensation offer within 15 days of the legal validity of expropriation resolution based on evaluation of assets made by proper authority.

The municipal authority will without delay supply a copy of the offer for compensation to the owner of the Expropriated property, and will collect information that may be of importance for the compensation from administrative and other institutions and organizations. The compensation agreement cannot be in contradiction to the Law on Expropriation provisions.

If Compensation agreement is not reached within two months from the date of legal validity of Expropriation resolution, municipal administration shall pass without delay all documents to the competent municipal court to determine and decide on the compensation. The process can also be initiated by the owner of the Expropriated property before the same court.

### 3.3 The valuation and entitlement principles according to the Law of Expropriation

As a general rule, the compensation in cash for expropriated immovable property (except for agricultural land) is determined at the market value1, taking into account circumstances at the time of conclusion of the Compensation agreement, and if agreement is not reached, according to the circumstances at the time of the first instance decision on compensation in a judicial process is passed. The Law of Expropriation in general prohibits any compensation payment against its rules, but it also states that compensation can be determined in a higher amount than the market price, taking into account financial and other personal and family circumstances of the owner, if those conditions are essential for its existence (the number of household members, number of household members who are capable of earning, or who are employed, the health status of household members, monthly household income, etc.) This provision allows a micro, case by case socio-economic assessment of the individual household and special consideration of vulnerable households.

Below compensation entitlements according to the Law of Expropriation are summarized.

**Table 1: Entitlements under National legal framework**

<table>
<thead>
<tr>
<th>Type of expropriated property</th>
<th>Compensation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Agricultural land</td>
<td>General rule: Compensation by offer of suitable agricultural land of the same type and quality - replacement land. If for appropriate agricultural land cannot be found, the compensation is determined in cash.</td>
</tr>
<tr>
<td>Building used for keeping livestock</td>
<td>General rule: Cash compensation according to market value Specific rule: if expropriated property provides for its owner means of livelihood, or large complex of land is expropriated - replacement property</td>
</tr>
<tr>
<td>Construction land</td>
<td>Cash compensation according to market value</td>
</tr>
</tbody>
</table>

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1 The term “market value” or “market price” is mentioned in relation to all kinds of property that can be a subject to Expropriation: land, crops, orchards, vineyards etc.

The Law per se does not provide a definition, but basically it is a value calculated based on prices of similar property in the area, which can be achieved for a particular property on the market, depending on supply and demand at that moment of setting the price. For agricultural and construction land the Law entitles the local Tax Administration offices to determine this value.
<table>
<thead>
<tr>
<th>Type of expropriated property</th>
<th>Compensation</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Residential building/flat/business premises</strong></td>
<td>Specific rule: in case of publicly/state owned construction land compensation is provided also to pre-nationalization owners according to Planning and construction Law, and to users that have reached a legal status of &quot;user of state owned land&quot; before 13 May 2003</td>
</tr>
</tbody>
</table>
| **Annual crops and fruits not harvested** | General rule: Cash compensation according to market value  
Specific rule: at property owner request - replacement property |
| **Vineyard and fruit bearing plants** | Value of agricultural land + value at market price of outstanding investments (input, labor) made for raising and maintaining such a vineyard or orchard + net value of full harvests (considering its age and fertility) for time needed to raise new plants, vineyard or orchard until they each full yielding potential. |
| **Young vineyards and orchards plants (which do not yield yet)** | Value of agricultural land + value at market price of outstanding investments (input, labor) made for raising and maintaining such a vineyard or orchard + net value of full harvests for every year that passed from planting that vineyard or orchard. |
| **Nursery garden** | Value of agricultural land + value at market price of outstanding investments (input, labor) made for unused plants |
| **Wood mass (mature or nearly mature)** | Value of agricultural land + market value of the "wood on the stump" on the collection point after deducting costs of harvesting, processing and transportation of wood products from the forest to the truck road or another loading point |
| **Incomplete expropriation** | Compensation |
| **Easement rights on land or buildings** | Decrease of market value of land or building due to easement rights implementation |
| **Lease by expropriation** | Rent at market value for time of lease + damages made to property owner, if any |
| **Temporary occupation** | Rent at market value for time of occupation + damages made to property owner, if any |

3.4 **Grievance mechanism available under the Law of Expropriation**

The Law of Expropriation prescribes the rights of affected owners to appeal at various stages of the expropriation procedure, beginning with administrative and judicial appeals (i.e. against the decision on determining public interest, the expropriation resolution and regarding type or value of compensation).
4 Applicable IFI's resettlement policies regarding land acquisition and involuntary resettlement

Projects, which are in fully or partially sponsored or funded by support of IFI's, triggering land acquisition and involuntary resettlement are subject to IFI's resettlement policies. These policies are not restricted to case of physical resettlement, but also upon appearance of any loss of income channels or means of livelihood (economic displacement) consequential from resettlement or restricted access to resources (land, water, or forest), resulting from project implementation or its associated facilities.

The policy applies to all components of the Project causing involuntary resettlement, regardless of the source of Project component funding. It further applies to other activities that cause involuntary resettlement, which are directly and significantly attributable to this Project, necessary to realize according to the goals defined in Project documents, and implemented, or are planned to be implemented with the Project.

General principles of the IFIs requirements are fully adopted as principles of this RPF, and are as follows:

- Involuntary resettlement should be avoided where feasible, or minimized, exploring all viable alternative project designs.
- Where it is not feasible to avoid resettlement, resettlement activities should be conceived and executed as sustainable development programs, providing sufficient investment resources to enable the persons displaced by the project to share in project benefits. Displaced persons should be meaningfully consulted and should have opportunities to participate in planning and implementing resettlement programs.
- Displaced persons should be assisted in their efforts to improve their livelihoods and standards of living or at least to restore them, in real terms, to pre-displacement levels or to levels prevailing prior to the beginning of Project implementation, whichever is higher.

IFI's resettlement policies distinguish the following categories of persons who are entitled for compensation and assistance:
• those who have formal legal rights over property (including customary and traditional rights recognized or recognizable under the laws of local legislation);
• those who do not have formal legal rights to property at the time the census begins but have a claim to such property - provided that such claims are recognized under the laws of the country or through a process identified in the RAP; and
• those who have no recognizable legal right or claim to the property they are occupying.

Special attention should be given to vulnerable groups, including those below the poverty line, elderly, women and children, ethnic minorities etc.

Persons who encroach on the area after the cut-off date are not entitled to compensation or any other form of resettlement assistance.

IFI's resettlement policies proclaims the following fundamental rules of actions that are applied during Project caused resettlement:

• Displaced persons will be informed about their options and rights referring to resettlement;
• Displaced persons will be consulted and offered the choice and provision of technical and economically sustainable alternatives during resettlement;
• Displaced persons will be ensured fast and effective compensation at full replacement cost for the property loss as a direct consequence of the Project. Preference should be given to resettlement strategies based on replacement land rather than compensation in cash payment with a particular attention when agricultural cultivated land is affected and to the displaced persons whose livelihood are land based;
• If the impacts include physical resettlement, displaced persons will be provided such assistance as compensation for relocation during the resettlement, residential building or residential location, or, if necessary, agricultural location for which combination of production potential, location benefits and other factors have at least the same benefits as the former location;
• Displaced persons will, on top of compensation for the acquired property, achieve all rights for additional assistance;
• Displaced persons will be provided support after relocation for a transitional period of time necessary for renewal of living standards;
• Displaced persons will access to grievance mechanism, accessible and available procedures for settling land acquisition and resettlement and construction related disputes.
These principles are adopted by this RPF, and will be applied during Project implementation, governing all activities where land acquisition and involuntary resettlement occurs.

4.1 Gap analysis between relevant national legislation and IFIs policies on involuntary resettlement

As a fundamental rule, under this RPF, during implementation of the Project, policy that is most beneficial to the PAPs will always prevail.

Rights of informal/illegal users

The RS legislation doesn’t recognize the rights of informal possessors (owners/users) in the process of expropriation according to Law of Expropriation, and as that law is the only legislation treating resettlement impact that occur during significant infrastructure or public construction projects, it is safe to say that RS legislation doesn't recognize the status of PAPs to informal possessors that are in fact affected by such projects. However, IFI's policies entitles all persons that are affected by the Project to compensation and assistance, including possessors that have no recognizable rights over the property they are occupying. In practice, this group includes persons who constructed buildings without permits and persons that are occupying private, public or state owned construction or agricultural land. Usually, houses or other structures are built on such land, or the land is used for agriculture. RS legislation entitles such possessors to compensation of costs of structures and installations in terms of made investment (under the Law on Fundamentals of Property Relations) – the assessed value of materials used, crops, woods, trees, fruit bearing trees, vineyards, the age of crops and the time needed to reproduce them. On the other hand, IFI's resettlement policies maintains same approach for all affected persons, protecting all persons that are suffering loss of property, actual or economic displacement and decline of livelihood due to Project implementation regardless of their legal title on the property they are using. As IFI's resettlement policies are most beneficial to the PAPs, it will prevail following the previously proclaimed fundamental rule.

Difference between market price and replacement costs

The national legislation uses a general term "market value" or "market price". As previously explained, it is a value calculated based on prices of similar property in the area, which can be achieved for a particular property on the market, depending on supply and demand at that moment of setting the price. For assessment of agricultural (if replacement land cannot be found) and construction land the Law entitles the local Tax Administration offices, body part of MoF, and for other assets, usually expert appraisers are appointed. As per IFI's resettlement policies "Replacement cost" is defined as agricultural land, it is the pre-project or pre-displacement, whichever is higher, market value of land of equal productive potential or use located in the vicinity of the affected land, plus the cost of preparing the land to levels similar to those of the affected land, plus the cost of any registration and transfer taxes. For land in urban areas, it is the pre displacement market value of land of equal size and use, with similar or improved public infrastructure facilities and services and located in the vicinity of the affected

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2 This applies only to persons that are present in the area before the cut-off date. Persons who encroach on the area after the cut-off date are not entitled to compensation or any other form of resettlement assistance.
land, plus the cost of any registration and transfer taxes. For houses and other structures, it is the market cost of the materials to build a replacement structure with an area and quality similar to or better than those of the affected structure, or to repair a partially affected structure, plus the cost of transporting building materials to the construction site, plus the cost of any labor and contractors’ fees, plus the cost of any registration and transfer taxes. In determining the replacement cost, depreciation of the asset and the value of salvage materials are not taken into account, nor is the value of benefits to be derived from the project deducted from the valuation of an affected asset. Where domestic law does not meet the standard of compensation at full replacement cost, compensation under domestic law will be supplemented by additional measures so as to meet the replacement cost standard.

Under this RPF, during the Project implementation process the IFI’s resettlement policies will also prevail in a principle of “replacement value” as it is more beneficial for the PAPs. The key difference between Law on Expropriation and IFI’s resettlement policies is that according to the Law the former owners are not entitled to any further assistance or compensation during and after relocation, tax and administration fees are not added to value of compensation and depreciation of property value (amortization, except for land) is included in appraisals. More detailed gaps between RS legislation and IFI’s resettlement policies are presented in Table 2 below.

Table 2: Gap analysis

<table>
<thead>
<tr>
<th>Subject</th>
<th>RS laws</th>
<th>IFI’s resettlement policies</th>
<th>Gaps and measures for bridging the gaps</th>
</tr>
</thead>
<tbody>
<tr>
<td>Additional assistance to PAPs</td>
<td>No particular legal provision</td>
<td>It is necessary to provide assistance during relocation, particular attention is to be paid to the needs of poor and vulnerable individuals and groups.</td>
<td>Displaced persons as well vulnerable individuals and groups will receive compensation for costs of relocation and any additional costs regarding relocation for the purpose of livelihood restoration and bridging the transitional period after resettlement or by virtue of vulnerability. IFI’s resettlement policies shall prevail</td>
</tr>
<tr>
<td>Livelihood restoration and assistance</td>
<td>No particular legal provision</td>
<td>IFI’s policies provides that the resettlement plan or policy include measures to ensure that the displaced persons are (i) offered support after displacement for a transitional period, based on a reasonable estimate of the time likely to be needed to restore their livelihood and standard of living; and, (ii) provided with development assistance in addition to compensation measures, such as land preparation, credit facilities, training or job opportunities.</td>
<td>National legislation does not envisage support after displacement nor development assistance in addition to compensation measures. Each site specific RAP/ARAP shall include measures and design adequate support and assistance commensurate to the impact, as a way to bridge the gap.</td>
</tr>
<tr>
<td>Resettlement instruments, census and social impact assessment</td>
<td>The only document prepared is the Expropriation study. There is no treatment of the socio-economic issues.</td>
<td>Preparation of individual RAPs, census survey and social impact assessment</td>
<td>RAPs, Census Survey and Socio-economic impact assessment’s shall be prepared in addition to national requirements</td>
</tr>
</tbody>
</table>
### Preliminary Design – Resettlement policy Framework (RPF)

<table>
<thead>
<tr>
<th>Subject</th>
<th>RS laws</th>
<th>IFI’s resettlement policies</th>
<th>Gaps and measures for bridging the gaps</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Public consultations</strong></td>
<td>The PAPs are contacted in the very process of expropriation, but there is no public discussion.</td>
<td>Meaningful consultations with affected persons and communities, local authorities, and, as appropriate, nongovernmental organizations needs to be carried out.</td>
<td>National legislation does not require public consultation with affected persons and communities. The Project promoter shall consult publicly on this and every other individual resettlement instrument.</td>
</tr>
<tr>
<td><strong>Cut-off date for eligibility and census</strong></td>
<td>The Law stipulates that the cut-off date for eligibility is the date of submission of the proposal for expropriation by the expropriation beneficiary to the relevant municipality.</td>
<td>Normally, the cut-off date is the date the census begins. The cut-off date could also be the date the project area was delineated, prior to the census, provided that there has been an effective public dissemination of information on the area delineated, and systematic and continuous dissemination subsequent to the delineation to prevent further population influx</td>
<td>Census and assets inventory will be prepared for site specific RAPs. The cut-off will be date of beginning of the census. This will be publicly announced and published by the BoE in local newspapers, informing all owners and users of the initiation of the expropriation process, the cut-off date and the contact persons who may be contacted by PAPs for further information. The cut-off date will also be publicly disclosed, on notice boards in local communities and municipalities and at consultation meetings, with an accompanying explanation. The public announcement will also be posted, as necessary, on frequently visited locations throughout the affected areas.</td>
</tr>
<tr>
<td><strong>Timing of compensation of payments</strong></td>
<td>At the request of the BoE the possession of the expropriated property can be allowed before on the basis of the final decision on expropriation, provided that the BoE defined the basis for compensation under the provisions of the Expropriation law.</td>
<td>The compensation should be provided before construction work start and before taking possession of the assets</td>
<td>Taking possession over the affected property is possible only once the compensation is paid or a formal grievance registered and compensation amount set aside on an escrow or similar account. IFI’s resettlement policies shall prevail,</td>
</tr>
<tr>
<td><strong>Resettlement of formal owners of immovable property except agricultural land</strong></td>
<td>Option 1: Cash compensation at market value + Moving costs Option 2: Relocation - Appropriate replacement at owner request offered if terms described in Table 1. is met - other land in the vicinity equal in quality, cultivation, class and value</td>
<td>Option 1: Cash compensation Cash compensation at replacement cost. Option 2: Resettlement - Replacement property of equal or higher value and similar productivity + Moving and transitional allowance + Administrative fees</td>
<td>Option 1: Cash compensation - If replacement costs are higher than market value, IFI requirements shall prevail Option 2: Replacement property - will be possible only if legal terms are met, as defined by Law of Expropriation. Transitional and moving costs shall be covered, IFI requirements shall prevail</td>
</tr>
<tr>
<td><strong>Acquisition of agricultural land</strong></td>
<td>Appropriate replacement land offered if terms described in Table 1. are met - other land in the vicinity equal in quality, cultivation, class and value.</td>
<td>Resettlement - Replacement property of equal or higher value and similar productivity + Moving and transitional allowance + Administrative fees</td>
<td>If livelihood is land based replacement property or Cash compensation at replacement value. IFI’s resettlement policies will prevail.</td>
</tr>
<tr>
<td><strong>Resettlement of informal owners of buildings</strong></td>
<td>The PAPs is entitled to building construction costs - building material, labour</td>
<td>PAP is entitled to cash compensation at replacement cost for construction of similar quality construction with additional</td>
<td>The national legislation only recognises formal ownership and persons without formal right but have recognisable legal right or claim over the building. PAPs</td>
</tr>
</tbody>
</table>

**Project Area**: Highway E

**Expropriation**: Carried out for site specific RAPs. The compensation should be provided before construction work start and before taking possession of the assets.

**Public Consultations**: Meaningful consultations with affected persons and communities, local authorities, and, as appropriate, nongovernmental organisations needs to be carried out.

**Timing of Compensation of Payments**: The compensation should be provided before construction work start and before taking possession of the assets.

**Resettlement of Formal Owners of Immovable Property Except Agricultural Land**: Option 1: Cash compensation at market value + Moving costs Option 2: Relocation - Appropriate replacement at owner request offered if terms described in Table 1. is met - other land in the vicinity equal in quality, cultivation, class and value. Option 1: Cash compensation Cash compensation at replacement cost. Option 2: Resettlement - Replacement property of equal or higher value and similar productivity + Moving and transitional allowance + Administrative fees.

**Acquisition of Agricultural Land**: Appropriate replacement land offered if terms described in Table 1. are met - other land in the vicinity equal in quality, cultivation, class and value.

**Resettlement of Informal Owners of Buildings**: The PAPs is entitled to building construction costs - building material, labour.

**Gaps and Measures for Bridging the Gaps**

- National legislation does not require public consultation with affected persons and communities. The Project promoter shall consult publicly on this and every other individual resettlement instrument.
- Census and assets inventory will be prepared for site specific RAPs. The cut-off will be date of beginning of the census. This will be publicly announced and published by the BoE in local newspapers, informing all owners and users of the initiation of the expropriation process, the cut-off date and the contact persons who may be contacted by PAPs for further information. The cut-off date will also be publicly disclosed, on notice boards in local communities and municipalities and at consultation meetings, with an accompanying explanation. The public announcement will also be posted, as necessary, on frequently visited locations throughout the affected areas.
- Taking possession over the affected property is possible only once the compensation is paid or a formal grievance registered and compensation amount set aside on an escrow or similar account. IFI’s resettlement policies shall prevail.
- Option 1: Cash compensation - If replacement costs are higher than market value, IFI requirements shall prevail Option 2: Replacement property - will be possible only if legal terms are met, as defined by Law of Expropriation. Transitional and moving costs shall be covered, IFI requirements shall prevail.
- If livelihood is land based replacement property or Cash compensation at replacement value. IFI’s resettlement policies will prevail.
- The national legislation only recognises formal ownership and persons without formal right but have recognisable legal right or claim over the building. PAPs.
<table>
<thead>
<tr>
<th>Subject</th>
<th>RS laws</th>
<th>IFI’s resettlement policies</th>
<th>Gaps and measures for bridging the gaps</th>
</tr>
</thead>
<tbody>
<tr>
<td>Preliminary Design and Feasibility Study with ESIA for construction of Highway E-80 in Serbia (SEETO Route 7)</td>
<td>Pre</td>
<td>IFI’s resettlement policies</td>
<td>informal owners of buildings shall receive cash compensation PAP is entitled to cash compensation at replacement cost for construction of similar quality construction with additional moving and transitional allowances + The value of time invested in construction</td>
</tr>
<tr>
<td>Resettlement of informal users of agricultural land</td>
<td>PAP is entitled to cash compensation for any improvements made on the land, such as irrigation, drainage, perennial crops, objects, woods, trees, fruit bearing trees, vineyards, crops and the time needed to reproduce them etc.</td>
<td>PAP is entitled to cash compensation for any improvements made on the land e.g. irrigation, drainage, perennial crops, objects etc. at replacement cost, and support after displacement for a transition period to restore livelihood.</td>
<td>National legislation does not provide for transitional allowance. Support shall be offered to bridge the gap based on reasonable estimate of the time likely to be needed to restore livelihood.</td>
</tr>
<tr>
<td>Resettlement of the lessee of agricultural land</td>
<td>PAP is entitled to cash compensation for any improvements made on the land, such as irrigation, drainage, perennial crops, objects, woods, trees, fruit bearing trees, vineyards, crops and the time needed to reproduce them etc.</td>
<td>PAP is entitled to cash compensation for any improvements made on the land i.e. Irrigation, drainage, perennial crops, objects etc. at replacement cost, provision of lease to corresponding public owned property for an equivalent period of time, and support after displacement for a transition period to restore livelihood.</td>
<td>National legislation does not provide for transitional allowance nor replacement land for lease, if land was leased from state. Support shall be offered to bridge the gap based on reasonable estimate of the time likely to be needed to restore livelihood, corresponding public owned property will be offered.</td>
</tr>
<tr>
<td>Annual and perennial crops (owner or lessee)</td>
<td>PAP is entitled to harvest the crops or value at market price of that type of crops or fruits decreased by cost of harvest</td>
<td>PAP is entitled to replacement cost meaning value of crop including the value of time needed to reproduce such crop, and any investments and labour.</td>
<td>IFI’s policies shall prevail if more beneficial to PAP. If replacement cost is less then market value, it shall be topped up by the difference.</td>
</tr>
<tr>
<td>Orchards and vineyards already yielding (owner, lessee)</td>
<td>Value at market price of outstanding investments (input, labour) made for raising and maintaining such a vineyard or orchard + net value of full harvests (considering its age and fertility) for time needed to raise new plants, vineyard or orchard until they each full yielding potential.</td>
<td>PAP is entitled to replacement cost meaning value of orchard, vineyard including the value of time needed to reproduce such orchard or vineyard, and any investments and labour.</td>
<td>No gaps</td>
</tr>
<tr>
<td>Orchards and vineyards not yet yielding (owner, lessee, informal owner)</td>
<td>Value at market price of outstanding investments (input, labor) made for raising and maintaining such a vineyard or orchard + net value of full harvests for every</td>
<td>Cash compensation for costs of obtaining, planting and cultivation for these seedlings + time needed to reproduce a replacement orchard/vineyard</td>
<td>IFI’s resettlement policies shall prevail if more beneficial to PAP</td>
</tr>
<tr>
<td>Subject</td>
<td>RS laws</td>
<td>IFI's resettlement policies</td>
<td>Gaps and measures for bridging the gaps</td>
</tr>
<tr>
<td>-------------------------------</td>
<td>-------------------------------------------------------------------------</td>
<td>---------------------------------------------------------------------------------------------</td>
<td>--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Business property</td>
<td>year that passed from planting that vineyard or orchard.</td>
<td>Option 1. Cash compensation at replacement cost, loss of income and moving allowance</td>
<td>National legislation does not envisage transitional allowance or skill upgrading training. Gap will be bridged by payment of transitional allowance and design of skill upgrading program, to be determined during social surveys for individual RAP/ARAP.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Option 2. Alternative property with adequate tenure arrangements, full relocation cost, including the inventory, and the replacement cost for any investment, transitional allowance, appropriate level of support for skill upgrading training if necessary to restore livelihood.</td>
<td></td>
</tr>
<tr>
<td>Loss of benefits</td>
<td>No particular legal provision</td>
<td>Cash compensation for loss of income during the period of resettlement</td>
<td>National legislation does not envisage support for loss of benefits. Each site specific RAP/ARAP shall include measures and design adequate support and assistance commensurate to the impact, as a way to bridge the gap.</td>
</tr>
<tr>
<td>Grievance mechanism and dispute resolution</td>
<td>The Law prescribes the possibility of appealing throughout expropriation phases but only within the existing institutions and with statutory limits.</td>
<td>Accessible and appropriate grievance mechanism must be enabled for PAPs and local communities at the whole period of project implementation.</td>
<td>Appropriate, accessible and affordable grievance mechanism shall be established in addition to rights of PAPs to claim protection in proper court of RS. Structure of proposed grievance mechanism is provided in chapter 5.</td>
</tr>
<tr>
<td>Monitoring of resettlement implementation</td>
<td>No particular legal provision</td>
<td>Credit beneficiary is responsible for appropriate monitoring of the activities, which were defined in the RPF and RAPs.</td>
<td>This RPF defines the plan of monitoring and reporting</td>
</tr>
<tr>
<td>Vulnerable groups</td>
<td>Compensation for expropriated property can be determined in a higher amount than the market price, taking into account financial and other personal and family situation of the previous owner, if those conditions essential for its existence (the number of household members, number of household members who are capable of earning, or who are employed, the health status of household members, monthly</td>
<td>According to the IFI's resettlement policies a special attention must be given to vulnerable groups. They are entitled to additional compensation, legal assistance during resettlement and help during physical relocation. As well, these PAPs are given a priority of employment.</td>
<td>National legislation does not include any kind of legal assistance during resettlement and help during physical relocation or priority of employment. Gap will be bridged by providing legal and resettlement assistance as well as priority of employment as appropriate and assessed during the social impact assessment for any RAP/ARAP.</td>
</tr>
<tr>
<td>Subject</td>
<td>RS laws</td>
<td>IFI’s resettlement policies</td>
<td>Gaps and measures for bridging the gaps</td>
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</tr>
<tr>
<td></td>
<td></td>
<td>household income, etc.</td>
<td></td>
</tr>
</tbody>
</table>
5 Eligibility, evaluation and entitlement

5.1 Persons eligible for compensation

According to this RPF, the following persons are entitled for expropriation compensation, if present in the Project area prior to cut-off date:

- PAPs who are formal owners or lessees, or legal users under the provisions of RS law, or unregistered\(^3\) owners and informal users\(^4\) of privately or publicly owned affected agricultural or construction land, or part of the land;
- PAPs who are owners and informal users, of the affected crops, and are affected by the Project;
- PAPs who are owners and informal users, of the perennial plants and trees such as fruit bearing trees and vineyards, and are affected by the Project;
- PAPs who are owners and informal users, of affected vineyards and orchards that have not given yield yet, and are affected by the Project;
- PAPs who are owners and informal users, of the nursery which has not yield yet, and are affected by the Project;
- PAPs who are owners of the affected non-agricultural business on the whole plot or a part of it, and are affected by the Project;
- Workers, agricultural possessors and farmers on the affected property, whose incomes and livelihoods are temporarily under the impact of the Project;
- Communities or households whose access to their buildings and usual resources are affected by the Project;
- PAPs who are formal owners, or lessees, or legal users under the provision of RS law, or unregistered owners and informal users, and who are affected by the Project because of the temporarily occupation of the their land;
- Vulnerable groups (VG), persons below the poverty line in accordance with national laws, women led households, single parents, elderly, disabled persons or those with long-term health problems) which are affected by the Project;
- PAPs who are formal or informal owners (building constructed without building permit on one’s own land plot, or someone else’s or state-owned plot) or lessees of the building (residential, commercial, industrial, institutional,

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\(^3\) Owners with recognisable legal right or claim

\(^4\) Persons who have established usage of public or private land and have invested in immovable objects, crops, woods, trees, fruit bearing trees, vineyards
auxiliary, etc.), or persons with occupancy rights on flats in expropriated residential building or apartment, or a part of the building that is affected by the Project, or informal users of public buildings; and

- PAP’s whose losses cannot be determined or foreseen at this stage of the Project.

5.2 Cut-off date

The cut-off date is a moratorium date. Persons encroaching into the Project area after the Cut-off date are not eligible for compensation or for any other mode of resettlement assistance. Also, any investments in fixed assets (such as structures, crops, fruit, trees, woodlots, etc.) as result of activities commencing after the Cut-off date will not be eligible for compensation. This cut-off date policy will not include persons who became owners after the cut-off date by court decision of a property existing prior to cut-off date. The date counters opportunistic claims from those moving into the Project area solely in anticipation of benefits.

In order to establish a cut-off date for determine the eligibility of PAPs for resettlement compensation and assistance, a census of persons and inventory of assets shall be produced, as soon as the sub-project is identified, to enumerate all likely impacts and, if possible, support by video and photo material. The report on the surveys shall be signed off by the PAPs and BoE. The date of beginning of census will be considered as cut-off date. Before the census, BoE will publish an information about the cut-off date in local newspapers, informing all owners and users of the initiation of the expropriation process. The cut-off date will also be publicly disclosed on notice boards in local communities and relevant municipalities and at consultation meetings, with an accompanying explanation. The public announcement will also be posted, as necessary, on frequently visited locations throughout the affected areas.

5.3 Evaluation of affected assets

Some general rules apply when evaluating assets for compensation:

**Compensations for buildings and land**. Compensation for agricultural land, or is some cases, as previously explained, at owners request, an expropriated building or land can be another appropriate replacement property, which corresponds in value, quality, etc. If there is a difference of values at replacement cost between expropriated and offered property, the BoE and property owner can agree on additional cash payment to bridge the gap. Cash compensation at replacement cost (including all associated costs of transaction, for example, registration costs in land/cadastre registries, transfer and administrative fees, if any), will be provided for land and buildings. The compensation will also be provided for all possible damages caused by any construction activities.

**Compensation for crops and trees**. During the expropriation, it is preferable to comply with the rule that access to site is performed only after all remaining annual crops are collected, whenever possible. For annual crops harvested before access to site the compensation will not be paid. Annual crops that cannot be harvested
prior to access to site the compensation shall be provided at replacement costs. The PAP shall have the choice to harvest the crops even after the access to site by BoE, if possible. Compensation for perennial plants and trees will be ensured at a replacement cost. Determining full replacement cost requires consideration not only of yield, but also of costs of setting up the plantation from the start (seedlings, ground preparation etc.), as well as income lost during the period necessary to achieve yield again.

**Compensation for other losses.** If the project activities result in loss or resettlement of livelihood sources (for example beehives), such persons will be compensated for a loss of production of one season plus reasonable costs in relation to relocating production resources.

### 5.4 Entitlement matrix

All entitlements, eligibility for compensation and compensation evaluation methods for resettlement under this RPF are summarized below.

*Table 3: Entitlement Matrix*

<table>
<thead>
<tr>
<th>Type of loss</th>
<th>Person with rights</th>
<th>Compensation policy</th>
</tr>
</thead>
<tbody>
<tr>
<td>Economic displacement</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Land</td>
<td>Owner with formal title</td>
<td>Replacement land of equal or higher value and similar productivity in direct proximity or in the surroundings of the expropriated land together with all costs of resettlement and administrative fees needed for transfer of ownership rights, if any. Or, Cash compensation at replacement costs;</td>
</tr>
<tr>
<td>Agricultural land regardless of severity of loss (whether partial or complete loss)</td>
<td>Lessee with valid documents of the right of lease who cultivates agricultural land pursuant to agreement</td>
<td>Compensation for all improvements on land (such as irrigation). Compensation will be paid at replacement cost. + Costs of equipment relocation and installation + Replacement land for lease, if land was leased from state</td>
</tr>
<tr>
<td>Type of loss</td>
<td>Person with rights</td>
<td>Compensation policy</td>
</tr>
<tr>
<td>-------------------------------------------------</td>
<td>-------------------------------------------------------------------------------------</td>
<td>------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>PRELIMINARY DESIGN - Environmental and Social Impact Assessment Study (ESIA)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Construction land regardless to severity of loss (whether partial or complete loss)</td>
<td></td>
<td>PAPs without formal title: Persons who encroach on the area after the cut-off date are not entitled to compensation or any other form of resettlement assistance.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Owner with formal title: Cash compensation at replacement costs, or; At property owner demand, if legal terms are met, replacement land of equal or higher value and similar value in direct proximity or in the surroundings of the expropriated land together with all costs of resettlement and administrative fees needed for transfer of ownership rights, if any.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Lessee with valid documents of the right of lease: Compensation for all investments on land Compensation will be paid at replacement cost + Costs of equipment relocation and installation + Replacement land for lease, if land was leased from state + Compensation for all rent paid in advance, for the period not expired.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>PAPs without formal title: Persons who encroach on the area after the cut-off date are not entitled to compensation or any other form of resettlement assistance.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Property owners, In case the remaining area of land is not viable, it can be expropriated upon PAPs request and compensated according to type of property</td>
</tr>
<tr>
<td>PLANTS AND STRUCTURES ON AGRICULTURAL LAND (but not houses)</td>
<td></td>
<td>Loss of annual crops, that could not have been harvested prior to land repossession: Owners of crops (it is not of importance if the owner of crops is owner of land, or lessee, or informal owner/user of land providing that</td>
</tr>
</tbody>
</table>

COWI | IPF
<table>
<thead>
<tr>
<th>Type of loss</th>
<th>Person with rights</th>
<th>Compensation policy</th>
</tr>
</thead>
<tbody>
<tr>
<td>Loss of perennial plants and trees (fruit bearing trees, vineyards and fruit bearing plants)</td>
<td>they bore costs of planting crops</td>
<td>costs of possible investment (work and labor force)</td>
</tr>
<tr>
<td>Affected vineyards and orchards not yet fruit bearing</td>
<td>Owners of plants (it is not of importance if the owner of plants is owner of land, or lessee, or informal owner/user of land providing that they bore costs of planting)</td>
<td>The right to collect fruits + Cash compensation at replacement cost on the basis of sort, year and productive value, including the value of time needed to produce such crop, as well as costs of possible investment (work and labor force), to plant a new vineyard, orchard or similar, till the moment it reaches the full fructuous potential.</td>
</tr>
<tr>
<td>Wood mass (mature or nearly mature)</td>
<td></td>
<td>Cash compensation for the investment in planting a new vineyard or orchard (labor, seedlings), including the value of time needed to reproduce a replacement vineyard or orchard.</td>
</tr>
<tr>
<td>Forests without mature wood mass</td>
<td></td>
<td>The replacement cost determined based on the value of the “wood on the stump” at market value</td>
</tr>
<tr>
<td>Nursery not yet yielding</td>
<td></td>
<td>Cash compensation for the investment in planting material (nursery and other reproductive material).</td>
</tr>
<tr>
<td>Buildings used for keeping and raising livestock (sheds, stables, etc.)</td>
<td>Owners of structures used for keeping livestock</td>
<td>Cash compensation at replacement costs, or; At property owner demand, if legal terms are met, appropriate replacement property + costs of resettlement and administrative fees needed for transfer of ownership rights, if any</td>
</tr>
<tr>
<td>Impact on agricultural employees, or processors</td>
<td>Workers, employees</td>
<td>In case of temporary disturbance of income source compensation on a one-time basis will be paid commensurate with income loss. + Training for alternative jobs if possible. + Priority in employment on the Project, if possible and on a case by case basis (In accordance with social assessment processed in RAPs)</td>
</tr>
<tr>
<td>Type of loss</td>
<td>Person with rights</td>
<td>Compensation policy</td>
</tr>
<tr>
<td>-------------------------------------------------</td>
<td>--------------------</td>
<td>-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
</tbody>
</table>
| **BUSINESS (but not agriculture)**              | Owners with formal title | - Cash compensation at replacement costs, including taxes  
  + Costs of equipment and inventory relocation and re-installation  
  + Cash compensation on a one time basis (transitional allowance) to be determined on a case to case basis during social survey by obtaining relevant data on income and livelihood. Transitional allowance shall then be determined commensurate with the loss.  
  - At property owner demand, if legal terms are met, replacement property of equal or higher value and similar feature, in direct proximity or in the surroundings of the expropriated building together with all costs of resettlement and administrative fees needed for transfer of ownership rights, if any  |
|                                                  | Lessee with valid documents of the right of lease who uses premises according to agreement | - Compensation for all improvements on premises (such as reconstruction, refurbishment etc.). Compensation will be paid at replacement cost.  
  + Costs of equipment and inventory relocation and re-installation,  
  + Cash compensation on a one time basis (transitional allowance) to be determined on a case to case basis during social survey by obtaining relevant data on income and livelihood. Transitional allowance shall then be determined commensurate with the loss.  
  + Replacement premises for lease, if premises was leased from state  |
|                                                  | PAPs, owners without formal title (building constructed without building permit on one’s own plot of land, or on somebody else’s land - usually state owned) | - Cash compensation for the building at replacement cost of the structure, including taxes  
  + Costs of equipment and inventory relocation and re-installation  
  + Cash compensation on a one time basis (transitional allowance) to be determined on a case to case basis during social survey by obtaining relevant data on income and livelihood. Transitional allowance shall then be determined commensurate with the loss.  |
### Type of loss

<table>
<thead>
<tr>
<th>Type of loss</th>
<th>Person with rights</th>
<th>Compensation policy</th>
</tr>
</thead>
<tbody>
<tr>
<td>Loss of non-agricultural businesses</td>
<td>Owner of business</td>
<td>Cash compensation for relocating the business, including compensation for immovable inventory and replacement cost of investment. + Cash compensation on a one-time basis (transitional allowance) + Any registration taxes. + Appropriate level of support for improving the skills if necessary to perform restoration of income source (livelihood).</td>
</tr>
<tr>
<td>Living premises (houses, flats) but where owner doesn't live in, so no physical resettlement is needed, but are used for lease as source of livelihood</td>
<td>Owner of property</td>
<td>Cash compensation for building at full replacement cost + Compensation for costs of relocation (moving allowance) and cash compensation on a one time basis (transitional allowance, if rent was a source of livelihood).</td>
</tr>
</tbody>
</table>

### PHYSICAL RESETTLEMENT

<table>
<thead>
<tr>
<th>Type of loss</th>
<th>Person with rights</th>
<th>Compensation policy</th>
</tr>
</thead>
<tbody>
<tr>
<td>Buildings (residential, houses, flats etc.)</td>
<td>Owner with formal title</td>
<td>Cash compensation at replacement costs, + Payment for relocation costs (moving allowance) and compensation for other costs during relocation and cash compensation on a one time basis (transitional allowance). or; At property owner demand, if legal terms are met, replacement property of equal or higher value, in direct proximity or in the surroundings of the expropriated property together with all costs of resettlement and administrative fees needed for transfer of ownership rights, if any</td>
</tr>
<tr>
<td>Informal owner - building constructed without building permit on one’s own plot of land if subject to legalization</td>
<td>Same as owner with formal title</td>
<td></td>
</tr>
<tr>
<td>Informal owner - building constructed without building permit on one’s own plot of land or constructed without building permit on someone else’s or state-owned - not eligible for legalization</td>
<td>Cash compensation for the building at replacement cost of the structure + Payment for relocation costs (moving allowance) and compensation for other costs during relocation and cash compensation on a one time basis (transitional allowance).</td>
<td></td>
</tr>
<tr>
<td>Lessee of the affected property</td>
<td>Payment of resettlement costs and compensation for other costs caused by relocation and cash compensation on a one-time basis (transitional allowance).</td>
<td></td>
</tr>
<tr>
<td>Type of loss</td>
<td>Person with rights</td>
<td>Compensation policy</td>
</tr>
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</tr>
<tr>
<td>Lessee or person with occupancy right to state owned flat</td>
<td>Provide lease or occupancy rights of same kind of another equivalent, social or state owned property in the vicinity. If equivalent state or socially owned flat is not available in the vicinity, PAP will be offered state or social owned flat more remote from the area of expropriated flat, + Payment for relocation costs (moving allowance) and compensation for other costs during relocation and cash compensation on a one time basis (transitional allowance), evaluated based on potential extra costs incurred by increased commuting costs.</td>
<td></td>
</tr>
<tr>
<td>Other resettlement situations</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Unviable buildings</td>
<td>Owner of building</td>
<td>In case that the remaining building is not viable or under a physical impediment for physical use can be expropriated upon PAP’s request. Compensation is provided in the way as prescribed for that type of building in accordance with this matrix.</td>
</tr>
<tr>
<td>Publicly or state owned buildings, schools, kindergartens, or part of buildings</td>
<td>Informal users, squatters</td>
<td>Payment for relocation costs (moving allowance) and compensation for other costs during relocation and cash compensation on a one time basis (transitional allowance), + Assistance in finding new accommodation.</td>
</tr>
<tr>
<td>Loss of access to usual resources and buildings</td>
<td>Communities or households</td>
<td>Replacement of public ownership or conveniences (roads and the like). Access to equal conveniences or services.</td>
</tr>
<tr>
<td>Impacts caused by temporary occupancy of land and any damages to the property</td>
<td>Property owner</td>
<td>Market price of lease for duration of the occupancy. The land must be returned to original condition. Improved quality of the land due to top soil ing work should not be removed, except if agreed upon differently with PAP, + Replacement cost in accordance with this matrix for affected crops, orchards, nurseries etc. + Compensation for any damages to the property evaluated at replacement costs.</td>
</tr>
<tr>
<td>Impact on vulnerable groups</td>
<td>Vulnerable PAPs: persons below the poverty line in</td>
<td>On top of all rights defined in this matrix, vulnerable PAPs will be</td>
</tr>
</tbody>
</table>
### Preliminary Design and Resettlement Policy Framework (RPF)

#### Type of loss

<table>
<thead>
<tr>
<th>Type of loss</th>
<th>Person with rights</th>
<th>Compensation policy</th>
</tr>
</thead>
<tbody>
<tr>
<td>accordance with national laws, households led by women, single parent, elderly, disabled person or those with long-term health problems.</td>
<td>provided additional assistance including legal assistance and help during physical relocation. Any additional support required for any affected vulnerable households will be determined on case-to-case basis during socio-economic survey. These PAPs are given priority of employment on the project if that is possible.</td>
<td></td>
</tr>
<tr>
<td>Undetermined impact</td>
<td>Owner or formal beneficiary</td>
<td>Any undetermined impact will be mitigated in accordance with principles and aims of the RPF.</td>
</tr>
</tbody>
</table>

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**Infrastructure Project Facility – Technical Assistance 4 (IFP4) - TA2012054 R0 WBF**

Preliminary Design and Feasibility Study with ESIA for construction of Highway E-80 in Serbia (SEETO Route 7)

PRELIMINARY DESIGN – Resettlement policy Framework (RPF)
6 Mitigation

6.1 Mitigation measures

According to the IFI's resettlement policies, measures to avoid involuntary resettlement in terms of Project alternatives should be explored. If resettlement still occurs it is necessary to take adequate mitigation measures in order to improve living conditions of the affected population, or, at least to keep the living condition at the pre displacement level.

In order to achieve the above, sufficient investment resources shall be made available in order to insure that all displaced persons will not be worse off after the Project implementation. Further, displaced persons should be meaningfully consulted and should have opportunities to participate in planning and implementation.

IFI's resettlement policies particularly highlights assistance to displaced persons by means of compensations for resettlement and compensation for other costs regarding the resettlement.

The emphasis lies on vulnerable population groups (assistance to disabled persons, assistance in purchasing new residential units, assistance for access or programs of self-employment and similar). Due to specific Project nature, special attention will be given to families with pre-school and school aged children in order to tailor resettlement packages commensurate to their learning activities.

6.2 Mitigation measures and gender impact

In order to ensure gender disaggregated information during the process of assessment and consultation, the following measures will be taken:

- Social impact assessment suggesting the total number of families and persons disaggregated by gender shall be stated in order to determine the number of project affected women and their baseline position.
- Individual assessment for women PAP's needs will be conducted to insure that their specific needs and distress is resolved in the resettlement process.
7 Grievances and complaints

7.1 Beneficiary feedback and grievance redress

In general, the national institutional framework addressing grievances is strong and broadly compatible with IFI's resettlement policies. Respecting the grievance panels and its authorities made available under the national legislation and in order to fully comply with the IFI's resettlement policies, a Project Specific Grievance Mechanism shall be designed. Given the type of potential Project impacts, the Project will have a central redress mechanism i.e. the Central Feedback Desk (CFD) at the level of the Project Promoter namely PERS. The CFD shall serve as both Project level information centre and grievance mechanism. The CFD will be applicable to all Project activities and relevant to all local communities affected by project activities implemented within both affected communities.

The CFD shall be responsible for receiving and responding to grievances and comments of the following two groups:

i. A person directly affected by the project including the impact due to land acquisition,

ii. Residents interested in and/or affected by the project living in the affected municipalities.

The CFD shall be established prior to commencement of the expropriation process, in order to manage and appropriately answer complaints made by the population located within the Project area of influence during its different phases. It will equally be authorized to receive complaints in respect to expropriation, construction, and operation. In addition, this procedure will help to improve the Project social performance.

The Project Promoter will ensure that Project Affected Persons are fully informed of the grievance mechanism by communicating the role and existence of the CFD its function, the contact persons and the procedures to submit a complaint in the affected areas. Information on the CFD will be available:

- on the website of the Project Promoter
7.2 Raising grievances

Effective grievance administration strongly relies on a set fundamental principles designed to promote the fairness of the process and its outcomes. The grievance procedure shall be designed to be accessible, effective, easy, understandable and without costs to the complainant. Any grievance can be brought to the attention of the CFD personally or by telephone or in writing by filling in the grievance form by phone, e-mail, post, fax or personal delivery to the address below:

PE ROADS OF SERBIA
Central Feedback Desk
Bulevar Kralja Aleksandra 282
11000 Beograd

Any grievance can be brought to the attention of the GC personally or by telephone, or in writing by filling in the grievance form (by post, fax or personal delivery to the address given below):

7.3 Grievances administration

Any grievance shall follow the path of the following mandatory steps: Receive, Assess and assign, Acknowledge, Investigate, Respond, Follow up and close out. Once logged the CFD shall conduct a rapid assessment to verify the nature of grievances and determine on the severity. Within 3 days from logging it will acknowledge that the case is registered and provide the complainant with the basic next step information. It will then investigate by trying to understand the issue from the perspective of the complainant and understand what action he/she requires. The CFD will investigate by looking into the facts and circumstances interview all parties involved and confer with relevant stakeholders. Once investigated, and depending on the severity and type of grievance, the provisional decision shall be discussed with the complainant. Unilaterally announcement shall be an exception. The final agreement should be specific and time bound. Closing out the grievance occurs after the implementation of the resolution has been verified. Even when an agreement is not reached, or the grievance was rejected it is important to document the result, actions and effort put into the resolution, close out the case. The CFD shall keep a grievance register log that will have all necessary elements to disaggregate the grievance by gender of the person logging it as well as by type of grievance. Each grievance will be recorded in the register with the following information at minimum:

- description of grievance,
- date of receipt acknowledgement returned to the complainant,
- description of actions taken (investigation, corrective measures), and
7.4 Grievance and beneficiary feedback reporting

The role of the CFD, in addition to addressing grievances, shall be to keep and store comments/grievances received and keep the Central grievance log administered by the Project promoter. In order to allow full knowledge of this tool and its results, quarterly updates from the CFD shall be available on the Project's Promoter website www.putevi-srbije.rs and the websites of Municipality Prokuplje www.prokuplje.org.rs and Merošina www.merosina.org.rs.

The updates shall be disaggregated by gender, type of grievances/complaints. In order to have continuous dialogue, quarterly public meetings shall be held to discuss the outcomes of grievances, in general, discuss the grievance/complaints report and inform the community about current Project activities.

7.5 Constitution of CFD

The PIU shall appoint a Public outreach specialist (POS) to be responsible for the CFD and 4 additional persons, two from each Municipality one of which shall be representative of the local community and one a municipal official by the time public consultations on this RPF have been completed. This will allow any potential grievance to be addressed even at the planning stage. The POS will be an employee of the Project promoter with the CFD administration function assigned. Each member from both municipalities will be an employee of the respective Municipality, a person familiar with the procedures, community and the impact area. The POS will be responsible to administer any grievance received, take any action necessary to address the grievance and inform the complainant about the outcome of the process. The POS will be a person with excellent knowledge of the Project and its components, as well as the role and responsibilities of all the stakeholders. She / he shall create and maintain an exhaustive data base of stakeholders, their responsible persons and representatives. Once the grievance is received POS shall communicate with the adequate stakeholder, explain the issue and propose adequate measures. The CFD shall prepare a guideline and grievance brochure to be distributed among the affected communities. The in depth coverage of the grievance mechanism shall be under each site specific RAP/ARAP as appropriate.
8 Preparation of individual RAP's

8.1 Individual RAP development, approval and implementation

Based on this RPF, RAPs or ARAPs if expropriation and resettlement is needed will be prepared. The objective of any RAP shall be to specify what procedures to follow and what actions to take to properly acquire land and compensate affected people by allowing and providing for adequate participation, consultation and full functioning of the grievance mechanism. Any site specific RAP shall be based on up-to-date and reliable information about (a) the proposed resettlement and its impacts on the displaced persons and other adversely affected groups, and (b) the legal issues involved in resettlement.

- In respective of the complexity of project circumstances any site specific RAP shall include at minimum the following:
- Description of the project and identification of the project area,
- Identification of project activities that give rise to resettlement,
- Consider alternatives to avoid or minimize resettlement and meaningful consult with affected people about acceptable alternatives,
- Establish mechanisms to minimize resettlement, to the extent possible, during project implementation,
- Provide comprehensive socioeconomic studies including:
  - census survey covering current occupants of the affected area to establish a basis for the design of the resettlement program and to exclude subsequent inflows of people from eligibility for compensation and resettlement assistance,
  - standard characteristics of displaced households, including a description of production systems, labor, and household organization; and
  - baseline information on livelihoods (including, as relevant, production levels and income derived from both formal and informal economic activities) and standards of living (including health status) of the displaced population.
  - the magnitude of the expected loss--total or partial--of assets, and the extent of displacement, physical or economic, information on vulnerable groups or persons, legal framework.
Infrastructure Project Facility – Technical Assistance 4 (IFP4) - TA2012054 R0 WBF
Preliminary Design and Feasibility Study with ESIA for construction of Highway E-80 in Serbia (SEETO Route 7)
PRELIMINARY DESIGN – Resettlement policy Framework (RPF)

8.2 Objectives of the RAPs

The objectives of the RAP are:

- To minimize possible adverse impacts of resettlement on population and property,
- To mitigate adverse social and economic impacts of expropriation and temporary or permanent losses by providing compensation for losses of property on the basis of replacement costs and ensure implementation of the activities of resettlement with appropriate data disclosure, consultations and participation of PAPs;
- Re-establish or even improve sources of income and living standards of resettled persons on the level before Project impact,
- To establish organizational systems and procedures for monitoring the realization of resettlement plan and to take corrective measures.

It is necessary to carry out a socio-economic census in order to identify the following:

- Current beneficiaries of the area in the scope of the Project, in order to establish baseline for creating the resettlement program and to avoid opportunistic claims,
- Standard characteristics of household, including description of production system, work and organization of household, with the basic information about livelihood (including, if relevant, level of production and income obtained from formal and informal economic activities) and standard of living (including health condition),
- Range of expected loss of property (total or partial) and range of resettlement, whether physical or economic,
- Information on vulnerable groups, particularly those below the poverty line, old and infirm persons, women and children, ethnic groups and other resettled persons not protected by Law of Expropriation, and for whom special measures must be taken,
- Measures for regular update of information on resettled persons and their livelihood and living standards, so that at the right moment, i.e. when the resettlement begins, the latest information are available. If updated information differ significantly from the original ones, measures will be defined how to record these changes and to update the resettlement program, in the way which is in accordance with the originally approved program.

A questionnaire template survey for carrying out the socio-economic census is provided in Appendix 1 to this document.

RAPs will be submitted to the appropriate IFI for review and clearance. No activities on construction works can commence until and unless compensation has been paid, or appropriate budget set aside on an escrow account or account alike,
or replacement property administered to PAPs, a court procedure initiated to determine the compensation in case no amicable settlement is reached subject to approval by the Ministry of Finance.

Implementation of RAPs is an obligation of the Project Promoter. The Project Promoter shall monitor overall implementation, collaborate with the municipalities in whose territory the works are taking place, collaborate with contractors and disclose information to PAPs and communities.

Activities on the preparation of site-specific RAPs will be disclosed in the way to enable significant participation of PAPs. That assumes the phase of preliminary preparations, disclosure of preparations for population census, disclosure of census results while respecting privacy of personal information, disclosure of social assessment, as well as disclosure of RAP drafts. The purpose of public disclosure and discussions is to ensure meaningful participation of PAPs in the process of preparation, implementation and monitoring of resettlement instruments.
9 Consultation and disclosure requirements

9.1 Public consultations

One public hearing shall be held for the RPF in Prokuplje or Merošina after the IFI and Project Promoter (and GoRS if needed) approve the draft documents. After the finalization of the documentation, it shall be disclosed again. The RPF and other documents shall be disclosed and available for a public insight no later than 14 days prior to public consultations and sufficient time shall be left for submitting remarks after public consultations.

All public consultations shall be announced through local newspaper and on internet portal of the Project Promoter. Additional consultations are also recommended, depending on the scope of the project:

- Public meetings with advisory groups, relevant stakeholders, relevant international or local institutions, local municipalities etc. are recommended occasionally during Project planning and implementation. Such consultations may assist if a problem is encountered during project design or implementation, advises and remarks can lead to the best solutions
- Meetings with local communities with an aim to include all comments and concerns of PAPs (individuals, households, business subjects) in the earliest stages of the Project.
- Individual meetings with PAPs regarding specific cases. These meetings can also be organized when needed upon request by PAPs.
- Public discussion on draft of this document. Minutes of the public discussion will become constituent part until final document and all grievances raised during the discussion shall be recorded in the Registry of Grievances.

9.2 Disclosure of documents

RPF will be disclosed in Serbian and English language. Documents must be available to the public throughout duration pf the Project including its evaluation. The English version will be published on the appropriate IFI's website, and Serbian language version will be published on the web-site of the Project Promoter and both Municipalities Prokuplje and Merošina

The RAP shall be presented to PAPs in the process of expropriation, particularly to vulnerable groups, and project impact on their property and their rights must be
explained, as mentioned in previous chapters. Hard copies of the documentation shall be available in the affected municipalities.

PIU is responsible for all disclosures of the documents.
10 Monitoring and evaluation

10.1 Institutional monitoring

Efficient expropriation and resettlement depend on dedication and capacities of all institutions responsible for preparation and implementation of the process of resettlement. The Project Promoter will appoint a person responsible for resettlement and preparation of the expropriation and resettlement process, coordinate the Activities of expropriation between agencies, municipalities and ministries.

The Project Promoter will also keep a database of resettlement and expropriation with all information about persons and property, with all cases of expropriation and a detailed list of all completed phases (submission of expropriation proposition to the competent municipality, compensation offer, agreements regarding the compensation, compensation payment, and payment of assistance during relocation etc.). Some institutions have additional obligations in monitoring the resettlement process:

*Table 4: Monitoring responsibilities*

<table>
<thead>
<tr>
<th>Task</th>
<th>Responsibility</th>
</tr>
</thead>
<tbody>
<tr>
<td>Disclosure of the RPF</td>
<td>Project Promoter</td>
</tr>
<tr>
<td>Information disclosure to all PAPs about the procedure of the beginning of expropriation and during all phases of expropriation</td>
<td>Project Promoter and LM</td>
</tr>
<tr>
<td>Communication and consultation with PAPs</td>
<td>Project Promoter and LM</td>
</tr>
<tr>
<td>Activities prior to commencement of works</td>
<td>Project Promoter and LM</td>
</tr>
<tr>
<td>Providing assistance during resettlement</td>
<td>Project Promoter and LM</td>
</tr>
<tr>
<td>Compensation payment</td>
<td>BoE and CFU on Project Promoter motion</td>
</tr>
<tr>
<td>Grievances management</td>
<td>Project Promoter</td>
</tr>
<tr>
<td>Monitoring and reporting on expropriation and resettlement</td>
<td>Project Promoter / External consultant</td>
</tr>
<tr>
<td>Monitoring and reporting after commencement of works</td>
<td>Contractor</td>
</tr>
</tbody>
</table>
11 Resettlement process monitoring and evaluation

The Project Promoter will conduct internal periodical (monthly or quarterly depending on the external reporting required by the financing institutions and the stage of expropriation process) monitoring so that efficiency of the expropriation process and level of satisfaction of PAPs could be assessed.

Indicators for implementation of monitoring are as follows:

- Number of public discussions and consultations on RAPs;
- Number of completed expropriation studies;
- Percentage of purchased land in relation to needed land acquired for the purposes of the Project, including total expropriated land area, and land area per person;
- Number of completed compensation payments;
- Number of replacement properties given and houses provided;
- Number of PAPs affected by RS exercising its right of ownership on buildings and land;
- Number and amount of payment for loss of income;
- Number and type of assistances provided to vulnerable groups of PAPs; and
- Number and type of grievances, including legal Actions arising from expropriation (submitted cases, resolved cases, time needed for their resolution).

The Project promoter shall monitor the implementation of the resettlement process both through internal, official institutional arrangements, as well as by an periodical independent external consultant, to be appointed by the Project Promoter. The external monitoring and evaluation consultant will be appointed prior to beginning of resettlement and will have an obligation to report quarterly. Based on the quarterly reports the PIU shall do an in –house or external completion (subject to agreement of the respective IFI) report within 2 months of resettlement completion to summarize the implementation. The report should verify that all physical inputs committed in the site specific RAPs have been delivered and all services provided. In addition, the report should evaluate whether the mitigation actions prescribed have had the desired effect. The socioeconomic status of the affected population should be measured against the baseline conditions of the population before displacement, as established through the census and socioeconomic studies.
12 Institutional and implementation arrangements

12.1 Other key institutions responsible for Project implementation

This chapter offers a general overview of state institutions, their authority proclaimed by law and their responsibilities during implementing the Project in general. Many of those institutions are not participants in the resettlement or expropriation legal process, but given the nature of the Project, but can provide information or make decisions influencing resettlement. The list of key state institutions includes General assembly with supreme legislative authority, that passes new laws or amendments to existing laws, which may be of importance if during Project and ratifies Loan agreement with IFIs; Government of RS (GoRS) with supreme executive power and legislation initiative rights, also issues secondary legislation and bylaws that may be of importance, Ministry of Public Administration and Local-Self Government (MoPALSG) that monitors and coordinates work of local self-government.

12.2 Key institutions in process of land expropriation and resettlement

This chapter presents institutions that may be involved in the resettlement process, including legal expropriation process. The overview of all institutions is presented below. The in depth assigned responsibilities and arrangements for implementing the requirements of this RPF and each site specific RAP/ARAP shall be covered in the RAP/ARAP itself.
### Table 5: Institutions contributing to the resettlement process

<table>
<thead>
<tr>
<th>Institutions</th>
<th>Key responsibilities during resettlement/expropriation</th>
</tr>
</thead>
<tbody>
<tr>
<td>General assembly of RS</td>
<td>Declares public interest by law</td>
</tr>
<tr>
<td>Government of RS (GoRS)</td>
<td>Declares public interest for expropriation (if not done by law as above)</td>
</tr>
<tr>
<td>Ministry of Construction, Transport and Infrastructure</td>
<td>Proposes, prepares and coordinates RS spatial plan and regional planning documents; manages the register of spatial plans</td>
</tr>
<tr>
<td>Ministry of Construction, Transport and Infrastructure (MCTI) and Public Enterprise “Roads of Serbia” (PERS)</td>
<td>As Project promoter, applies and coordinates all resettlement activities under this RPF and individual RAPs; establishes CFD; discloses Cut-off date in public announcement for relevant municipality, as part of expropriation process; prepares individual RAPs and other documents; discloses RPF, and all other documents; holds public consultations in all Project phases; engages relevant stakeholders; applies resettlement mitigation measures; establishes and administrates CFD grievances of PAPs and stakeholders; monitors and evaluates process of resettlement; reports to appropriate IFI on all issues under this RPF</td>
</tr>
<tr>
<td>Ministry of Finance (MoF)</td>
<td>Borrower</td>
</tr>
<tr>
<td>Tax administration offices (decentralized to municipality units, but under MoF)</td>
<td>Provides assessment of market value of agricultural or construction land</td>
</tr>
<tr>
<td>Local-Self Governments, including its administration</td>
<td>Local municipalities governs the expropriation process and steers the expropriation process (Department of property affairs)</td>
</tr>
<tr>
<td>Ministry of Agriculture and Environmental Protection</td>
<td>Provides information about available replacement land</td>
</tr>
<tr>
<td>Republic Geodetic Authority, State Cadastre of immovable property, decentralized units</td>
<td>Provides official information on all immovables, including land, structures, houses, etc.; Provides official legal information on owners of immovables; Provides information on possessors of immovable property; Annotation of expropriation process; Executes legal title changes of immovable property owners after land acquisition, expropriation etc.</td>
</tr>
<tr>
<td>Relevant municipal courts</td>
<td>Determines fair compensation if amicable agreement has not been reached</td>
</tr>
</tbody>
</table>
Annex 1  Socio-economic survey questionnaire

FOR BUSINESSES

<table>
<thead>
<tr>
<th>Questionnaire number</th>
</tr>
</thead>
</table>

Survey date: ____________________________

Surveyor name: __________________________

Municipality: ____________________________

Location: ________________________________

Address: ________________________________

Cadastral plot reference: __________________

Photograph of affected property (number): __________________
1. GENERAL INFORMATION ON THE SURVEYEE (REPRESENTATIVE OF THE BUSINESS ENTITY CONCERNED)

1.1. Respondent's name and surname: 

1.2. Position the respondent holds within the business entity: 

1.3. Ethnic group: 

1.4. Phone number: 

2. GENERAL INFORMATION ON AFFECTED BUSINESS ENTITY

2.1. Type of business activity: 

2.2. Year of establishment: 

2.3. Owner (co-owner): 

2.4. Legal form of the business entity: 

2.5. Business entity is formally registered: YES / NO 

2.6. Is the structure where business entity operates, legalized? YES / NO (if not, clarify ________________ ) 

2.7. Number of full time employees: ________ and number of part-time employees: __________ 

3. INFORMATION ON BUSINESS INCOME

3.1. In average, over the last three years: 

<table>
<thead>
<tr>
<th>Total income (RSD annually)</th>
</tr>
</thead>
</table>

*Note: If the business entity has been operating for less than 3 years, provide information for the last year.*

4. COMPENSATION PREFERENCES

4.1 What do you envision your main problems will be if you end up being affected by the project implementation?

<table>
<thead>
<tr>
<th>Loss of customers (business)</th>
<th>Obstruction of business activities due to works</th>
<th>Equipment damages</th>
<th>Other (specify):</th>
</tr>
</thead>
</table>
4.2 What would be the most appropriate type of compensation for you?
### FOR HOUSEHOLDS

<table>
<thead>
<tr>
<th>Questionnaire number</th>
</tr>
</thead>
</table>

**Survey date:**

**Surveyor’s name and surname:**
PRELIMINARY DESIGN – Resettlement policy Framework (RPF)

1. GENERAL INFORMATION ON AFFECTED HOUSEHOLD
   1.1. Location: ____________________________________________
   1.2. Municipality: ____________________________
   1.3. Cadastral plot reference: ____________________________
   1.4. Full name of the respondent: ____________________________
   1.5. Ethnic group: ____________________________
   1.6. Phone number: ____________________________
   1.7. Whole plot affected: Yes/No ____________________________
   1.8. If no, specify the size of the non-affected part: ____________________________ m²

2. GENERAL INFORMATION ON AFFECTED PROPERTY (PLOT)
   2.1. Total size: ____________________________ m²

2.2. Location of the cadastral plots, total number per location and cadastral reference

<table>
<thead>
<tr>
<th>Location</th>
<th>Total number of cadastral plots</th>
<th>Cadastral plot reference</th>
<th>Current use</th>
<th>Tick applicable use</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td></td>
<td></td>
<td>Mainly residential</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Mainly agricultural</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Forest</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Pasture</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Mainly business</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Unused</td>
<td></td>
</tr>
<tr>
<td>2.</td>
<td></td>
<td></td>
<td>Mainly residential</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Mainly agricultural</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Forest</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Pasture</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Mainly business</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Unused</td>
<td></td>
</tr>
</tbody>
</table>

3. AGRICULTURAL ACTIVITIES
   3.1. Crops observed on the affected part of the plot at the time of the survey: ____________________________

   3.2. Perennial / annual species: ____________________________

   3.3. If perennial: Year of plantation: ____________________________

   3.4. Average yield on this plot according to farmer: ____________________________ (specify measurement unit)
3.5. Overall land holdings of the farmer, including this plot and all others: ______________________ hectares
Including farm lands, pastures, forests, arid and unused land – Include all land available to the farmer regardless of ownership
3.6. Of which farmed this year: ______________________ hectares

4. GENERAL INFORMATION ON AFFECTED STRUCTURE

4.1. Detached building: YES / NO
4.2. Apartment in a larger house: YES / NO
4.3. Apartment in a building: YES / NO
4.4. House: YES / NO
4.5. Outside dimensions (main building)   m x     m
4.6. Built in year:

4.7. General condition (main building):
       Exterior:
       Interior:
       1: new or very good
       2: fair
       3: poor
       4: ruin, unusable

4.8. **Auxiliary structures**                      4.9. **Build of solid materials**
<table>
<thead>
<tr>
<th></th>
<th>YES</th>
<th>NO</th>
</tr>
</thead>
<tbody>
<tr>
<td>Summer kitchen</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Garage</td>
<td>YES</td>
<td>NO</td>
</tr>
<tr>
<td>Pantry</td>
<td>YES</td>
<td>NO</td>
</tr>
<tr>
<td>Stall</td>
<td>YES</td>
<td>NO</td>
</tr>
<tr>
<td>Other (specify):</td>
<td>YES</td>
<td>NO</td>
</tr>
<tr>
<td>Other (specify):</td>
<td>YES</td>
<td>NO</td>
</tr>
</tbody>
</table>

Tick applicable box
5. OWNERSHIP ISSUES

5.1. Owner: Yes  No

5.2. User: Yes  No

5.3. Ownership details

<table>
<thead>
<tr>
<th>With fully registered title</th>
<th>Legalization in progress</th>
<th>What stage is the legalization process in:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Formal lease of public property</td>
<td>Owner</td>
<td>Owner (contact details):</td>
</tr>
<tr>
<td>Formal lease of private property</td>
<td>Owner (contact details):</td>
<td></td>
</tr>
<tr>
<td>Informal lease</td>
<td>Owner (contact details):</td>
<td></td>
</tr>
<tr>
<td>Fully informal</td>
<td>How did the owner take possession of the above mentioned plot?</td>
<td></td>
</tr>
<tr>
<td>Shared ownership</td>
<td>If yes, name other co-owners:</td>
<td></td>
</tr>
</tbody>
</table>

Tick applicable box

6. GENERAL INFORMATION ON AFFECTED HOUSEHOLD MEMBERS

6.1. Information on household members

<table>
<thead>
<tr>
<th>Name and surname</th>
<th>Relationship with Head of Household</th>
<th>Year of Birth</th>
<th>Gender</th>
<th>Occupation</th>
<th>Education (degree of vocational education)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 HH</td>
<td>HH</td>
<td>M</td>
<td>F</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td></td>
<td>M</td>
<td>F</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td></td>
<td>M</td>
<td>F</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td></td>
<td>M</td>
<td>F</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td></td>
<td>M</td>
<td>F</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

7. VULNERABILITY

7.1 Is any of the household members suffering from one of the following problems: 7.2 Social benefits (yes or no, if yes which kind of assistance)

- Physical handicap
- Mental handicap
- Chronic disease requiring regular medical attention
- Chronic disease requiring hospitalization
- Unemployed or without regular income
- Elderly and/or elderly and single
- Member of ethnic minority (e.g. Roma)
- Other problem (specify)

Indicate the number of affected household members in section 2 in the relevant table
8. AFFECTED HOUSEHOLD (HOUSING)
8.1. How long have you been living here (year when you settled here):

8.2. Where did you live before?

8.3. Under what circumstances did you settle here?

9. SOCIO-ECONOMIC DETAILS ABOUT THE AFFECTED HOUSEHOLD

9.1. Total household income

<table>
<thead>
<tr>
<th>Category</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Less than 30000 RSD</td>
<td>( \ldots )</td>
</tr>
<tr>
<td>Between 30000 and 60000 RSD</td>
<td>( \ldots )</td>
</tr>
<tr>
<td>Between 60000 and 90000 RSD</td>
<td>( \ldots )</td>
</tr>
<tr>
<td>More than 90000 RSD</td>
<td>( \ldots )</td>
</tr>
</tbody>
</table>

*In case there are several household members earning the income, please consolidate them together*

<table>
<thead>
<tr>
<th>Sources of income</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Salaries</td>
<td>( \ldots )</td>
</tr>
<tr>
<td>Pensions</td>
<td>( \ldots )</td>
</tr>
<tr>
<td>Personal agricultural production</td>
<td>( \ldots )</td>
</tr>
<tr>
<td>Government or other assistance</td>
<td>( \ldots )</td>
</tr>
<tr>
<td>Small business</td>
<td>( \ldots )</td>
</tr>
<tr>
<td>Other (specify):</td>
<td>( \ldots )</td>
</tr>
<tr>
<td>Remittances</td>
<td>( \ldots )</td>
</tr>
<tr>
<td>Other (specify):</td>
<td>( \ldots )</td>
</tr>
</tbody>
</table>

*Tick applicable box*

10. DISPLACEMENT
10.1. What do you envision your main problems will be if you end up being affected by the project implementation?

<table>
<thead>
<tr>
<th>Loss</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Loss of livelihood</td>
<td>( \ldots )</td>
</tr>
<tr>
<td>Loss of social connections</td>
<td>( \ldots )</td>
</tr>
<tr>
<td>Loss of property</td>
<td>( \ldots )</td>
</tr>
<tr>
<td>Other (specify):</td>
<td>( \ldots )</td>
</tr>
</tbody>
</table>

*Tick applicable box*

11. COMPENSATION PREFERENCES
11.1. Resettlement (relocation to another house in an unaffected area) YES / NO

11.2. What would be most important for you in the selection of a resettlement location (please rank)?

<table>
<thead>
<tr>
<th>Proximity to</th>
<th>Proximity to</th>
<th>Proximity to</th>
<th>Proximity to</th>
<th>Proximity to</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>schools</td>
<td>health care centers</td>
<td>relatives</td>
<td>social networks (specify)</td>
</tr>
<tr>
<td>Male member of the household</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Female member of the household</td>
<td>Other (specify):</td>
<td>Other (specify):</td>
<td>Other (specify):</td>
<td>Other (specify):</td>
</tr>
<tr>
<td>Male member of the household</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Female member of the household</td>
<td>Other (specify):</td>
<td>Other (specify):</td>
<td>Other (specify):</td>
<td>Other (specify):</td>
</tr>
</tbody>
</table>

(1: most important, 2 second most important, etc. – 0: not significant or not applicable)

11.3. Compensation in cash YES / NO

11.4 Do you have another house that you could relocate to? YES / NO

For landowners

<table>
<thead>
<tr>
<th>Questionnaire number</th>
</tr>
</thead>
</table>

Survey date

Surveyor's name and surname:

Municipality:

Location:

Address:

Cadastral plot reference:

Photograph of affected property (number):

Whole plot affected: YES / NO

If no, specify the size of the non-affected part: m²
1. GENERAL INFORMATION ON THE RESPONDENT

1.1. Respondent's name and surname:

1.2. Owner or user of land plot:

1.3. Ethnic group:

1.4. Phone number:

2. GENERAL INFORMATION ON AFFECTED PROPERTY (PLOT)

2.1. Location of the plots, total number per location and cadastral reference

<table>
<thead>
<tr>
<th>Location</th>
<th>Total number of plots</th>
<th>Cadastral reference</th>
<th>Plot</th>
<th>Current use</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Arable land</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Forest</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Pasture</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Unused</td>
</tr>
<tr>
<td>1.</td>
<td></td>
<td></td>
<td></td>
<td>Arable land</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Forest</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Pasture</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Unused</td>
</tr>
<tr>
<td>2.</td>
<td></td>
<td></td>
<td></td>
<td>Arable land</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Forest</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Pasture</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Unused</td>
</tr>
</tbody>
</table>

3. OWNERSHIP ISSUES

3.1. Owner: Yes  No

3.2. User: Yes  No

3.3. Ownership details

<table>
<thead>
<tr>
<th>With fully registered title</th>
<th>What stage is the legalization process in:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Legalization in progress</td>
<td>Owner</td>
</tr>
<tr>
<td>Formal lease of public property</td>
<td>Owner (contact details):</td>
</tr>
<tr>
<td>Formal lease of private property</td>
<td>Owner (contact details):</td>
</tr>
<tr>
<td>Informal lease</td>
<td>Owner (contact details):</td>
</tr>
<tr>
<td>Fully informal</td>
<td>How did the owner take possession of the above mentioned plot?</td>
</tr>
<tr>
<td>Shared ownership YES / NO</td>
<td>If yes, name other co-owners:</td>
</tr>
</tbody>
</table>

Tick applicable box

4. AGRICULTURAL ACTIVITIES

4.1. Crops observed on the affected part of the plot at the time of the survey:
4.2. Perennial / annual species:

__________________________

4.3. If perennial: Year of plantation: __________

4.4. Average yield on this plot according to farmer: _____________________________ (specify measurement unit).

4.5. Overall land holdings of the farmer, including this plot and all others: __________________ hectares

Including farm lands, pastures, forests, arid and unused land – Include all land available to the farmer regardless of ownership.

4.6. Of which farmed this year: __________________ hectares

5. INCOME FROM AGRICULTURAL ACTIVITIES

5.1. Do you sell crops _____________________________

5.2. If yes, what is your average annual income generated by selling crops:________________________

5.3. Do you use crops to meet your own needs (consumption):________________________

5.4. Did you have any plans referring to the affected part of the plot? YES or NO

5.5. If YES, what were your intentions?

__________________________

6. COMPENSATION PREFERENCES

6.1. Compensation in cash YES / NO

6.2. Would you prefer plot for plot exchange? YES / NO

6.3. Would you buy another property instead of this land plot? YES / NO
Annex 2 Grievance form

REFERENCE NUMBER
(Filled by the office)

A) Affected by expropriation
b) All others

PARTICIPANT INFORMATION OF GRIEVANCE

M  F

Description of Incident for Grievance
(What happened? Where did it happen? Whom did it happen to? What is the result of the problem?)

Date of the Incident?
• One-time incident/grievance – Date: ______________________
• Happened more than once (How many times?) _____________________
• On-going (currently experiencing problem)

What would you like to see happen?

SIGNATURE:

Please return this form to the following:

__________________
_______________
Preliminary Design and Feasibility Study with ESIA for construction of Highway E-80 in Serbia (SEETO Route 7)

PRELIMINARY DESIGN – Resettlement policy Framework (RPF)

(Head of the implementation unit)